

# Counting I

CS 70, July, 14, 2020

would like to answer questions like:

How many outcomes possible for  $k$  coin tosses?

How many Poker hands?

How many handshakes for  $n$  people?

How many diagonals in a convex polygon?

How many 10 digits number?

How many 10 digits numbers without repetition?

## Today's Topics:

- 1) First Rule of counting
- 2) Second Rule of counting
- 3) Sum Rule
- 4) Combinatorial Proofs

How many 3-bit string? or ...

How many different sequences of three bits from  $\{0,1\}$ ?

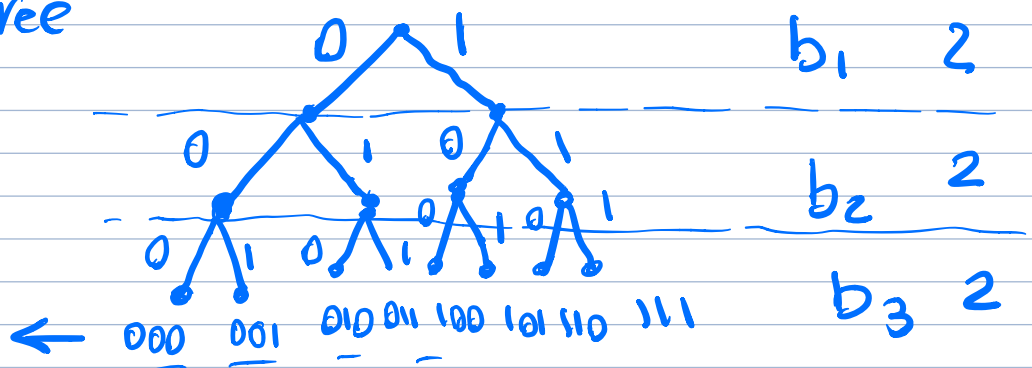
It's a sequence of numbers:  $b_1$   $b_2$   $b_3$

Use a binary tree

$$\Rightarrow 2 \times 2 \times 2 = \underline{8}$$

8 3-bit string

8 leaves.



# 1) First Rule of Counting: Product Rule

For an object that can be made by a sequence of choices, such that there are  $n_1$  choices, then  $n_2$  choices ..., then  $n_k$  steps

The number of objects to make is:  $n_1 \times n_2 \times \dots \times n_k$

Some Examples:

How many outcomes possible for  $k$  coin tosses?

outcomes: heads or tails

so 2 ways for the first choice, 2 way for second ...  $\Rightarrow \underbrace{2 \times 2 \times \dots \times 2}_k = 2^k$

How many 10 digits number?  $k$  digits



First choice 10  
second " 10  
⋮  
 $k^{\text{th}}$  choice 10

$\Rightarrow 10 \times 10 \times \dots \times 10 = 10^k$

How many n digit base m numbers?

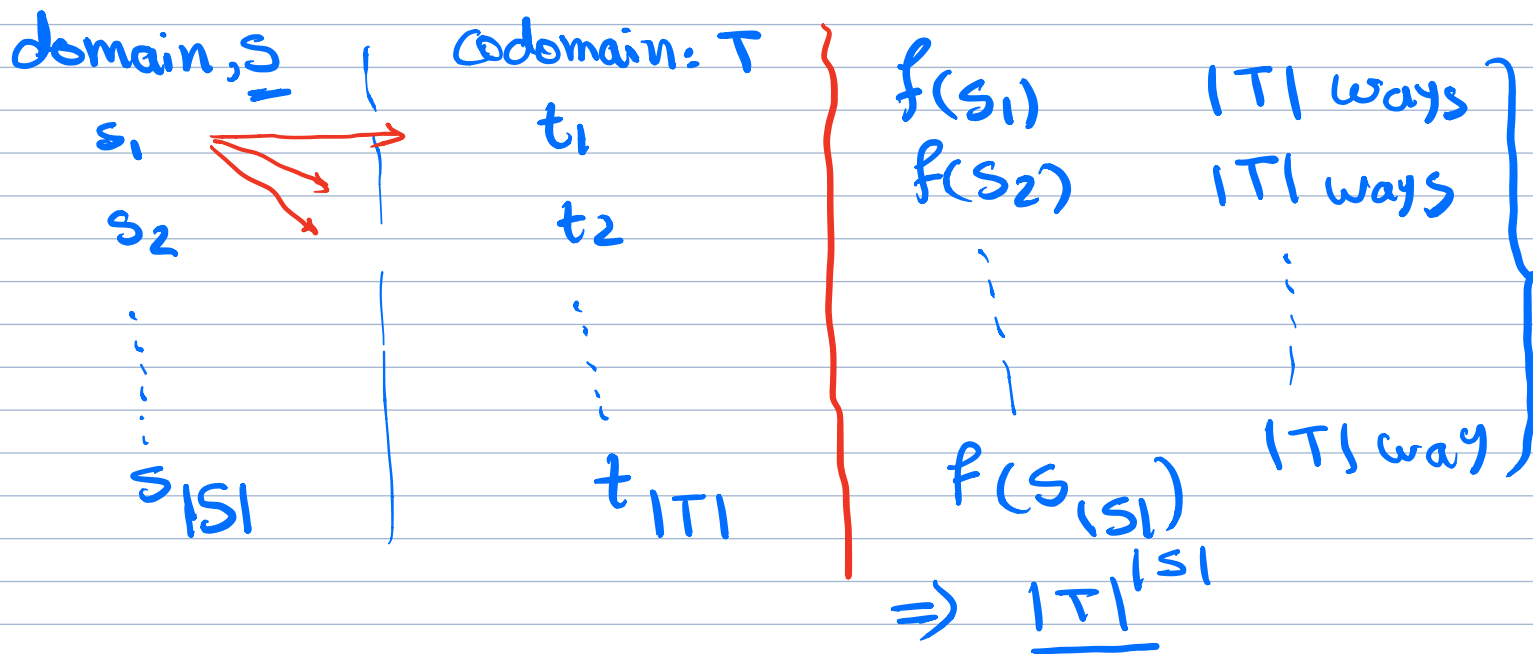
$$\left. \begin{array}{l} \text{First digit } m \\ \text{Second } a \quad m \\ \vdots \\ \text{nth digit } m \end{array} \right\} \Rightarrow \underbrace{m \times m \times \dots \times m}_n = m^n.$$

what if we assume 08 is not a two digit number?

n digit base m number?

$$\left. \begin{array}{l} \text{First digit } m-1 \\ \text{second digit } m \\ \vdots \\ \text{n}^{\text{th}} \text{ digit } m \end{array} \right\} = (m-1) \times \underbrace{m \times \dots \times m}_{n-1} \\ = (m-1) m^{n-1}$$

Functions: How many functions f mapping S to T.



Polynomials: How many Polynomials of degree  $d = k$  modulo  $P$ ?

$$P(x) = a_k x^k + a_{k-1} x^{k-1} + \dots + a_0 x^0$$

$a_k \rightarrow P$   
 $a_{k-1} \rightarrow P$   
 $\vdots$   
 $a_0 \rightarrow P$

$$\Rightarrow \underbrace{P \times P \times \dots \times P}_{k+1} = P^{k+1}$$

How many non-zero Polynomials?

$$P^{k+1} - 1$$

Permutations: How many 10 digits numbers without repeating a digit?

First digit 10  
 Second digit 9  
 $\vdots$   
 Tenth digit 1

$$\Rightarrow 10 \times 9 \times 8 \times \dots \times 1 = 10!$$

factorial

How many different samples of  $k$  from  $n$  numbers without replacement.

First choice  $n$

Second choice  $n-1$

$\vdots$

$k^{\text{th}}$  choice  $(n-k+1)$

$\hookrightarrow n - (k-1)$

$$\begin{aligned} &\Rightarrow n \times (n-1) \times \dots \times (n-k+1) \\ &= \frac{n \times (n-1) \times \dots \times (n-k+1) \times (n-k) \times \dots \times 1}{(n-k) \times (n-k-1) \times \dots \times 1} \\ &= \frac{n!}{(n-k)!} \end{aligned}$$

How many ordering of n objects are there?

• Permutations of n objects

First choice n  
Second n (n-1)  
⋮  
n<sup>th</sup> choice 1

}  $\Rightarrow n \times (n-1) \times \dots \times 1 = n!$

How many one-to-one function from S to S

$f(s_1) \rightarrow |S|$   
 $f(s_2) \rightarrow |S|-1$   
⋮  
 $f(s_{|S|}) \rightarrow 1$

}  $= |S| \times (|S|-1) \times \dots \times 1$   
 $= |S|!$

$|S|$   
↓  
S

How many POKER hands? (5 cards from 52)

$$\left. \begin{array}{l} \text{first } 52 \\ \text{second } 51 \\ \vdots \\ \text{fifth } 48 \end{array} \right\} \frac{52 \times \dots \times 48 \times 47 \times \dots \times 1}{47 \times \dots \times 1} = \frac{52!}{47!}$$

Are hands {A, K, Q, J, 10} and {K, J, Q, 10, A} the same? Yes  $\rightarrow$  order doesn't matter

so  $\frac{52!}{47!}$  overcounts the hands

Any ordering or permutation of {A, K, Q, J, 10} is the same hand.

The number of ordering for {A, K, Q, J, 10} = 5!

For any possible,  $\frac{52!}{47!}$ , we count that hand 5! times

Divide by 5!  $\Rightarrow \frac{52!}{47!5!}$

## 2) Second Rule of Counting

If the order doesn't matter count ordered objects and then divide by number of orderings.

Going back to Poker hands:

How many Poker hands? (5 cards from 52)

Equivalent to: choose 5 cards from 52 cards (order doesn't matter)

Examples:

choose 2 out of  $n$ :  $\frac{n \times (n-1)}{2} = \frac{n!}{2! (n-2)!}$



choose 3 out of  $n$ :  $\frac{n \times (n-1) \times (n-2)}{3!} = \frac{n!}{3! (n-3)!}$



$$3 \times 2 \times 1 = 3!$$

choose  $k$  out of  $n$ :  $\frac{n!}{k! (n-k)!} \equiv \binom{n}{k}$

$\binom{n}{k}$ : "n choose k"

To Summarize:

First rule:  $n_1 \times n_2 \times \dots \times n_k$ . Product rule

second rule: when order doesn't matter divide...

More examples:

Ordering of ANAGRAM?

Let's assume A's are distinguishable:  $7!$

$ANAGRAM, ANAGRAM, \dots$   
 $\underbrace{1 \ 2 \ 3}, \underbrace{2 \ 1 \ 3}, \dots$   
 $\rightarrow \underline{3 \times 2 \times 1} \Rightarrow 3! \Rightarrow \frac{7!}{3!}$

How many ordering of DOG?  $3 \times 2 \times 1 = 3!$   
CAT



How many ordering of MISSISSIPPI

Assume letters are different: !!!

4 S's can be permuted  $4!$  times  $\Rightarrow \frac{!!!}{4!}$

4 I's can be permuted  $4!$  times  $\Rightarrow \frac{!!!}{4!4!}$

2 P's can be permuted  $2!$  times  $\Rightarrow \frac{!!!}{4!4!2!}$

### 3) Sum Rule

Example: Assume we have two indistinguishable jokers in 54 card deck.

How many 5 card hands?

Sum rule: can sum over disjoint possibilities

3 disjoint possibilities  $\left\{ \begin{array}{l} \text{no joker} \\ \text{or 1 joker} \\ \text{or 2 jokers} \end{array} \right.$

$$\left( \begin{array}{c} 52 \\ 5 \end{array} \right) + \left( \begin{array}{c} 52 \\ 4 \end{array} \right) + \left( \begin{array}{c} 52 \\ 3 \end{array} \right)$$


Assume we have two distinguishable jokers in 54 card deck.

How many 5 card hands?

$$\binom{54}{5}$$

3 disjoint possibilities =

$$\left\{ \begin{array}{l} \text{no joker} \mid \text{1 joker} \mid \text{2 jokers} \\ \hline \binom{52}{5} + 2\binom{52}{4} + \binom{52}{3} \end{array} \right.$$

Can we count differently? 

$$\binom{54}{5} = \binom{52}{5} + 2\binom{52}{4} + \binom{52}{3}$$

Why is this correct? Because we showed that we can count the number of hands by two different approaches.

This kind of proof is called combinatorial proof.

#### 4) Combinatorial Proofs:

$$\text{Theorem: } \binom{n}{k} = \binom{n}{n-k}$$

Proof: come up with a counting story for each side of the equation.

LHS: How many subsets of size  $k$   $\binom{n}{k}$

RHS: How many subsets that does not include  $n-k$  of the object.

↪ Choose a subset of size  $n-k$  objects to not take.

Theorem:  $\binom{n+1}{k} = \binom{n}{k} + \binom{n}{k-1}$   
[Pascal's rule]

LHS: How many size  $k$  subsets of  $n+1$   $\binom{n+1}{k}$

RHS: How many size  $k$  subset with and without first element

① choose first element  
need to choose  $k-1$  more from  $n$

$$\binom{n}{k-1}$$

② don't choose first element

need to choose  $k$  from  $n$

$$\binom{n}{k}$$

$$\hookrightarrow \binom{n}{k-1} + \binom{n}{k} = \binom{n+1}{k}$$

Theorem: 
$$\binom{n}{k} = \binom{n-1}{k-1} + \binom{n-2}{k-1} + \dots + \binom{k-1}{k-1}$$

LHS:  $n$  choose  $k$

RHS: Consider size  $k$  subset with  $i^{\text{th}}$  element is the first element chosen.

$$\{1, 2, \dots, i, \dots, n\}$$

Choose  $k-1$  from  $n-i$

must choose  $k-1$  elements from  $n-i$

Remaining.  $\Rightarrow \binom{n-i}{k-1}$ .

Add them up to get the total number

of size  $k \Rightarrow \binom{n-1}{k-1} + \binom{n-2}{k-1} + \dots + \binom{k-1}{k-1}$

So 
$$\binom{n}{k} = \sum_{i=1}^{n-(k-1)} \binom{n-i}{k-1}$$

Theorem:  $2^n = \binom{n}{n} + \binom{n}{n-1} + \dots + \binom{n}{0}$

LHS:

RHS: